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IP13 Rec'd PCT/PTO 22 JAN 2007

ATTORNEY DOCKET NO. 234881

CLIENT REF. NO. 6/CW/FF32647US

U.S. APPLICATION NO. 10/529,929

PRIORITY DATE CLAIMED
October 2, 2002

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491**

INTERNATIONAL APPLICATION NO.
PCT/IB2003/004357

INTERNATIONAL FILING DATE
October 2, 2003

TITLE OF INVENTION Sealing, Trimming or Guiding Strips

APPLICANT(S) FOR DO/EO/US TITZ, Peter

Applicant hereby petitions the United States Designated/Elected Office (DO/EO/US) to accept the enclosed declaration on behalf of an Inventor that refuses to sign and submits herewith the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies

Items 12 to 19 below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☐ Form PTO-1449
 - ☐ Copies of References (except for U.S. patents and applications)
13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Application Data Sheet Under 37 CFR 1.76
18. ☒ Return Receipt Postcard

U.S. APPLICATION NO. 10/529,929	INTERNATIONAL APPLICATION NO. PCT/IB2003/004357	ATTORNEY DOCKET NO. 234881	CLIENT REF. NO. 6/CW/FF32647US
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19. ☒ Other items or information:

- Response to Notice to File Missing Parts;
- Copy of Notification of Missing Requirements Under 35 U.S.C. § 371;
- Petition by Person Having Proprietary Interest to File Application on Behalf of Inventor Who Refuses to Sign (37 C.F.R. 1.47(b));
- Combined Declaration for Utility or Design Patent Application and Power of Attorney, executed on behalf of inventor;
- Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor (37 C.F.R. 1.47 (b))
- Statement Establishing Proprietary Interest by Person Signing on Behalf of Nonsigning Inventor with Attachments;
- Statement by Person Having First Hand Knowledge that Nonsigning Inventor Was Employee or Otherwise Obligated to Person With Sufficient Proprietary Interest When Invention Was Made;
- Statement by Person Having First Hand Knowledge that Efforts Were Made to Obtain the Inventor's Signature.

20. The following fees are submitted:	CALCULATIONS	PTO USE ONLY																
<input type="checkbox"/> Basic National Fee (37 CFR 1.492(a)(1)-(5)): (\$300 filing fee + \$200 examination fee + \$500 search fee) \$1,000.00																		
ENTER APPROPRIATE BASIC FEE AMOUNT=	\$																	
<input type="checkbox"/> Claim Fee																		
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">-20=</td> <td></td> <td style="text-align: center;">x \$ 50.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td style="text-align: center;">x \$ 200.00</td> </tr> <tr> <td><input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)</td> <td></td> <td></td> <td style="text-align: center;">+\$360.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	-20=		x \$ 50.00	Independent Claims	- 3 =		x \$ 200.00	<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+\$360.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total Claims	-20=		x \$ 50.00															
Independent Claims	- 3 =		x \$ 200.00															
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+\$360.00															
<input type="checkbox"/> Specification Fee (\$250 for each additional 50 sheets over 100)	\$																	
<input checked="" type="checkbox"/> Late Filing of Declaration Surcharge	\$ 130.00																	
TOTAL OF ABOVE CALCULATIONS=	\$ 130.00																	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$ ()																	
SUBTOTAL=	\$ 130.00																	
<input type="checkbox"/> Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.	\$																	
TOTAL NATIONAL FEE=	\$																	
<input checked="" type="checkbox"/> Petition By Person Having Proprietary Interest (37 CFR 1.47(b))	\$ 200.00																	
<input type="checkbox"/> Petition Pursuant to 37 C.F.T. 1.137(b) to Revive Unintentionally Abandoned Application	\$0.00																	
<input checked="" type="checkbox"/> Petition for Three-Month Extension	\$1,020.00																	
<input checked="" type="checkbox"/> Additional Claim Fee as a non-small entity Petition by Person having Proprietary Interest	\$ 200.00																	
TOTAL FEE=	\$ 1,550.00																	
	Amount to be: refunded	\$																
	charged:	\$																

Page 2 of 3

01/24/2007 ATRAN1 00000086 121216 10529929

01 FC:1617 130.00 DA
02 FC:1615 200.00 DA

01/24/2007 ATRAN1 00000086 121216 10529929

04 FC:1253 1020.00 DA


U.S. APPLICATION NO. 10/529,929	INTERNATIONAL APPLICATION NO. PCT/IB2003/004357	ATTORNEY DOCKET NO. 234881	CLIENT REF. NO. 6/CW/FF32647US
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- a. ☐ No fee is believed to be due.
- b. ☒ Please charge Deposit Account No. 12-1216 the total fee indicated above.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO.

23460


Pamela J. Ruschau, Registration No. 34,242
Leydig, Voit & Mayer, Ltd.
Two Prudential Plaza, Suite 4900
180 North Stetson Avenue
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)
Date: January 19, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application No. 10/529,929

Applicant: Titz

Filed: April 1, 2005

TC/AU: Unassigned

Examiner: Unassigned

Docket No.: 234881 (Client Reference No. 6/CW/FF32647US)

Customer No.: 23460

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

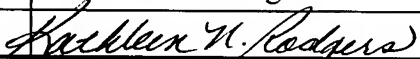
Dear Sir

Applicant(s) hereby respond(s) to the Notice to File Missing Parts of Application dated September 14, 2006.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8			
I hereby certify that this Response and all accompanying documents are, on the date indicated below, <input checked="" type="checkbox"/> being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or <input type="checkbox"/> being facsimile transmitted to the U.S. Patent and Trademark Office, Facsimile Number (571) 273-8300.			
Name (Print/Type)	Kathleen N. Rodgers		
Signature		Date	January 19, 2007

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s) and Declaration executed on behalf of inventor that refuses to sign.
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
- i. ☐ Computer Readable Form (CRF)
- ii. Specification Sequence Listing on:
- (1) ☐ CD-ROM or CD-R (2 copies); or
- (2) ☐ Paper Copy
- iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☒ Copy of the Notice to File Missing Parts of Application
- e. ☐ Substitute Drawings
- f. ☒ Other: •Petition by Person Having Proprietary Interest to File Application on Behalf of Inventor Who Refuses to Sign (37 C.F.R. 1.47(b));
•Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor (37 C.F.R. 1.47 (b))
•Statement Establishing Proprietary Interest by Person Signing on Behalf of Nonsigning Inventor with Attachments;
•Statement by Person Having First Hand Knowledge that Nonsigning Inventor Was Employee or Otherwise Obligated to Person With Sufficient Proprietary Interest When Invention Was Made;
•Statement by Person Having First Hand Knowledge that Efforts Were Made to Obtain the Inventor's Signature.

3. Application Filing Fee

- a. ☒ No application filing fee due
- b. ☐ Application filing fee due in amount calculated below:

BASIC FEE (\$300 FILING FEE + \$200 EXAMINATION FEE + \$500 SEARCH FEE)				\$1,000.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	36 – 20 =	36	x \$50.00	\$0.00
Independent Claims	3 – 3 =	0	x \$200.00	\$0.00
<input type="checkbox"/> Multiple Dependent Claim(s) if applicable + \$360.00				\$
<input type="checkbox"/> Application Size Fee (\$250 for each additional 50 sheets over 100)				\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$()
Fee Already Paid =				\$
Fee Due =				\$

4. Late Filing of Oath or Declaration Surcharge

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for
☒ other than a small entity or ☐ a small entity.

Fee Due \$130.00

5.. Non-English Specification Surcharge

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

6. **(Provisional only) Surcharge for Late Submission** **Fee Due** \$ 0.00
Pursuant to 37 CFR 1.16(g), the surcharge for submitting an English translation of the non-English specification is included.

7. **Extension of Time** **Fee Due** \$ 0.00
☒ Applicant(s) petition(s) for a three-month extension of time under 37 CFR 1.136, the fee for which is \$1,020.00.
☐ Applicant(s) believe(s) that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant(s) has/have inadvertently overlooked the need for a petition and fee for extension of time.

8. **Total Fee Due** **Fee Due** \$1,020.00

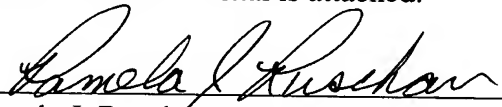
The total fee due is:

Application Filing Fee	\$ 0.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
(Provisional only) Surcharge for Late Submission	\$ 0.00
Extension of Time Fee	\$1,020.00
Additional Claim Fee as a non-small entity	\$200.00
Petition by Person Having Proprietary Interest	\$200.00

Total Fee Due: \$1,550.00

9. **Fee Payment**
☐ Attached is a check in the sum of \$.
☒ Charge Account No. 12-1216 the sum of \$980.00. A duplicate of this transmittal is attached.

10. **Fee Deficiency**
☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.


Pamela J. Ruschau, Reg. No. 34,242
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson Avenue
Chicago, Illinois 60601-6731
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: January 19, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/529,929	Peter Titz	234881

23460
 LEYDIG VOIT & MAYER, LTD
 TWO PRUDENTIAL PLAZA, SUITE 4900
 180 NORTH STETSON AVENUE
 CHICAGO, IL 60601-6780

INTERNATIONAL APPLICATION NO.

PCT/IB03/04357

I.A. FILING DATE

PRIORITY DATE

10/02/2003

CONFIRMATION NO. 7935

371 FORMALITIES LETTER



OC000000020413961

To: PJR cc: Mathisen
 LVM 234881

Date Mailed: 09/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/01/2005
- Copy of the International Search Report filed on 04/01/2005
- Preliminary Amendments filed on 04/01/2005
- Information Disclosure Statements filed on 04/01/2005
- Request for Immediate Examination filed on 04/01/2005
- U.S. Basic National Fees filed on 04/01/2005

LEYDIG, VOIT & MAYER
 RECEIVED

SEP 22 2006

PAY/TM Due Date

11-14-06
 4-14-07 final

JC

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$330 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 200
 - \$200 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,929	PCT/IB03/04357	234881

FORM PCT/DO/EO/905 (371 Formalities Notice)